

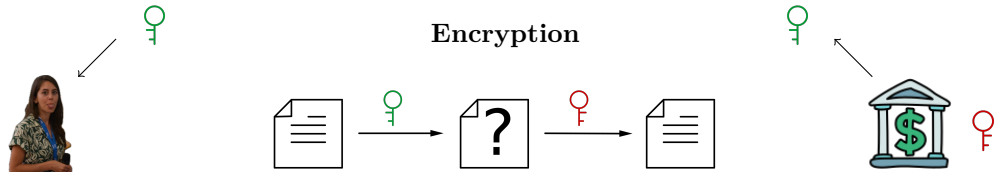
Open problems in code-based cryptography

Violetta Weger

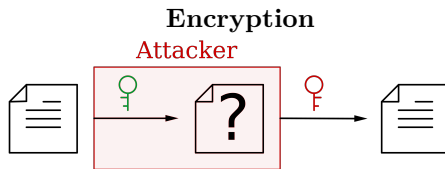
Coding Theory Colloquium

November 8, 2023

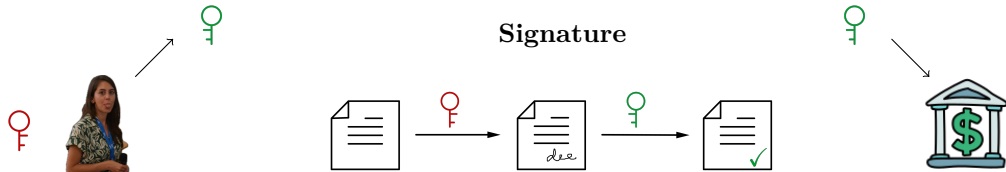
Gentle Introduction to Crypto



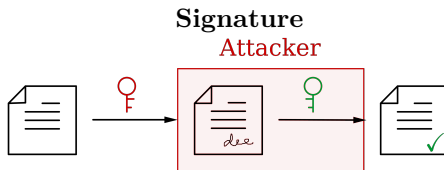
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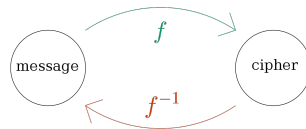
Gentle Introduction to Crypto

Encryption



Trapdoor

- f easy to compute with key
- f^{-1} hard to compute with key
- f^{-1} easy with secret key



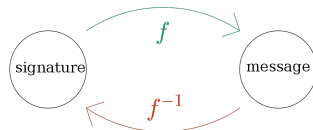
Gentle Introduction to Crypto

Signature



Trapdoor

- f easy to compute with ♀
- f^{-1} hard to compute with ♀
- f^{-1} easy with secret ♂



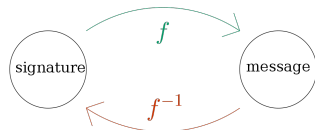
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Trapdoor

- f easy to compute with ♀
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Attacks

- message recovery/forgery: f^{-1} without secret ♂
- key recovery: find secret ♂
- security level λ : best algo. needs 2^λ ops.

Constraints

- public ♀, signature **small**
- decrypt/sign:
 f^{-1} with secret ♂ **fast**

Classic Crypto vs. Post-quantum Crypto

Classic crypto

- Integer factorization: $f(p, q) = p \cdot q = n$
- DLP: $f(c) = a^c = b$ in \mathbb{F}_q or ell. curve

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- Shor's algorithm
- find period of function in poly. time

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- (preferably) NP-hard problem
- quantum algos. need exp. time

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NIST standardization calls

- 2016: 3 code-based encryption

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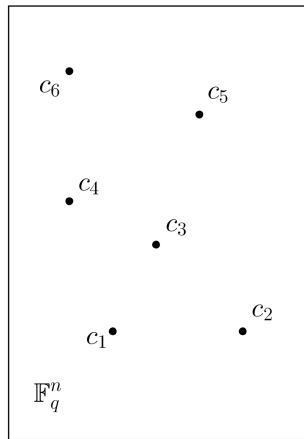
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NIST standardization calls

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Today's talk: open questions for these schemes

- Classic McEliece
- McEliece signature
- decoding rank-metric codes
- code equivalence problems

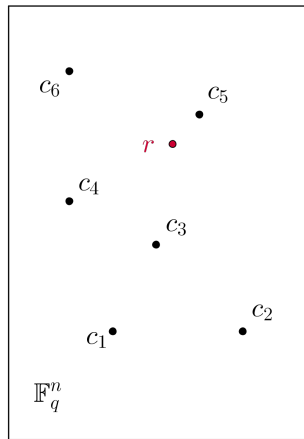


Set Up

- Code $\mathcal{C} \subseteq \mathbb{F}_q^n$ linear k -dimensional subspace
- $c \in \mathcal{C}$ codeword
- $G \in \mathbb{F}_q^{k \times n}$ generator matrix $\mathcal{C} = \{xG \mid x \in \mathbb{F}_q^k\}$
- $H \in \mathbb{F}_q^{n-k \times n}$ parity-check matrix $\mathcal{C} = \{c \mid cH^\top = 0\}$
- $s = eH^\top$ syndrome

Coding Theory

$$c \longrightarrow \boxed{\text{⚡}} \longrightarrow r = c + e$$

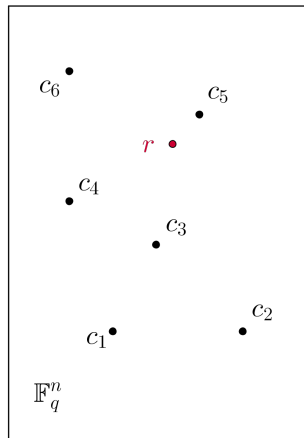


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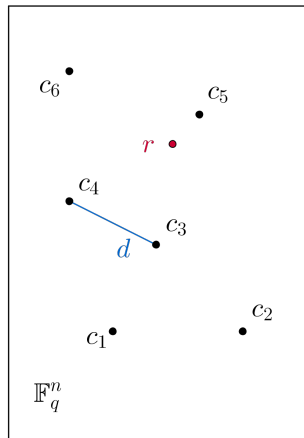


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- Hamming metric: $d_H(x, y) = |\{i \mid x_i \neq y_i\}|$

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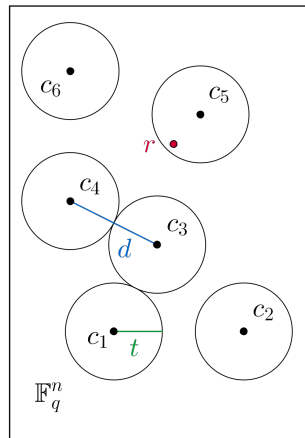
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$$d(\mathcal{C}) = \min\{d_H(x, y) \mid x \neq y \in \mathcal{C}\}$$

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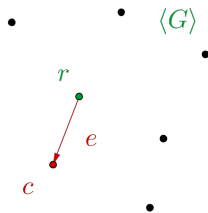
- error-correction capability: $t = \lfloor (d(\mathcal{C}) - 1)/2 \rfloor$

Syndrome Decoding Problem

Syndrome Decoding Problem (SDP):

Given p.c. matrix $H \in \mathbb{F}_q^{(n-k) \times n}$, syndrome $s \in \mathbb{F}_q^{n-k}$, target weight t , find $e \in \mathbb{F}_q^n$ s.t.

1. $s = eH^\top$
2. $\text{wt}_H(e) \leq t$



Syndrome Decoding Problem

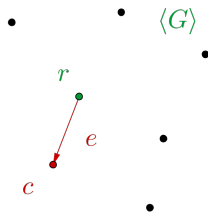
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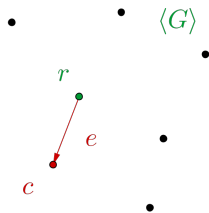
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Information set decoding (ISD)

$$H$$

.

$$\begin{bmatrix} \text{red box} & e \end{bmatrix}$$

$$\text{wt} = t$$

=

$$s$$

Syndrome Decoding Problem

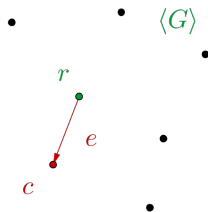
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$$\circ I \subset \{1, \dots, n\}: |\mathcal{C}_I| = |\mathcal{C}|$$

$\rightarrow G_I$ invertible, H_{I^c} invertible

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Syndrome Decoding Problem

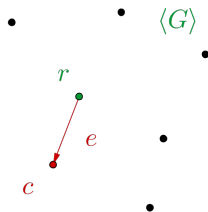
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Information set decoding (ISD)

- $I \subset \{1, \dots, n\}$: $|\mathcal{C}_I| = |\mathcal{C}|$
- $\rightarrow G_I$ invertible, H_{I^c} invertible
- find error-free information set I
- cost = $\binom{n}{t} \binom{n-k}{t}^{-1}$
- assume $t = (d-1)/2$, d from GV
- $\rightarrow \text{cost } q^{nf(n,R)} \sim 2^{0.05n}$

$$\begin{bmatrix} \text{Id} & A \end{bmatrix} = UH$$

$$\begin{bmatrix} e_{I^c} & 0 \end{bmatrix} = \begin{bmatrix} U s \end{bmatrix}$$

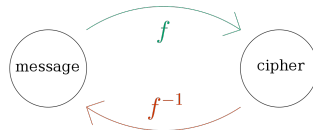
$\underbrace{\hspace{10em}}_I$
 $\text{wt} = t \qquad e_{I^c} = U s$

Syndrome Decoding Problem

SDP:

Given H, s, t find e with

1. $s = eH^T$
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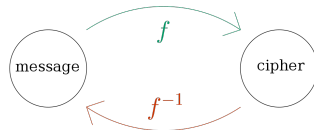


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Encryption scheme

🔑 **secret** H (with efficient decoder)

🔑 **public** scrambled H', s, t

→ cipher = $f(\text{message})$

trapdoor

$$f : \{e \in \mathbb{F}_q^n : \text{wt}_H(e) \leq t\} \rightarrow \mathbb{F}_q^{n-k},$$

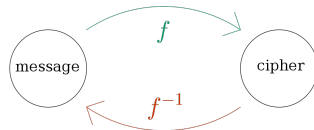
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Which secret code to choose?

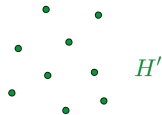
Classic McEliece

🔑 **secret** H Goppa code



🔑 **public** $H' = SHP$, S invertible, P permutation

scrambling

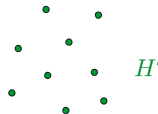
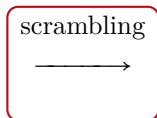
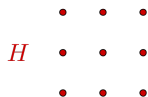


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Distinguishing Problem



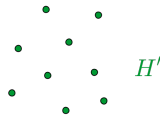
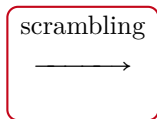
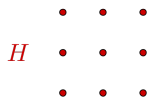
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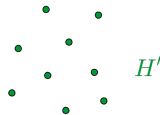
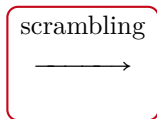
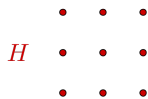
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TH McEliece Challenges:

Theoretical key recovery: 10'000 \$



Distinguish Goppa Codes

Famous distinguisher: Square code

- $a, b \in \mathbb{F}_q^n : a \star b = (a_1 b_1, \dots, a_n b_n)$

- $\mathcal{C}^{(2)} = \langle \{a \star b : a, b \in \mathcal{C}\} \rangle$

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$$\mathcal{C} \text{ random code} \rightarrow \dim(\mathcal{C}^{(2)}) = \min\left\{\binom{k+1}{2}, n\right\}$$

$$\text{GRS}(\alpha, \beta) = \{(f(\alpha_1)\beta_1, \dots, f(\alpha_n)\beta_n) : f \in \mathbb{F}_{p^m}[x], \deg < k\}$$

$$H = \begin{pmatrix} \beta'_1 & \beta'_2 & \cdots & \beta'_n \\ \alpha_1 \beta'_1 & \alpha_2 \beta'_2 & \cdots & \alpha_n \beta'_n \\ \vdots & \vdots & & \vdots \\ \alpha_1^{t-1} \beta'_1 & \alpha_2^{t-1} \beta'_2 & \cdots & \alpha_n^{t-1} \beta'_n \end{pmatrix} \in \mathbb{F}_{p^m}^{t \times n}$$

$$\text{GRS}(\alpha, \beta) \in \mathbb{F}_{p^m}^n \text{ of dim } k = n - t$$

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$$\text{GRS}(\alpha, \beta) \in \mathbb{F}_{p^m}^n \text{ of dim } k = n - t \qquad \rightarrow \dim(\text{GRS}(\alpha, \beta)^{(2)}) = \min\{2k - 1, n\}$$

Distinguish Goppa Codes

$\text{Goppa}(\alpha, g) \in \mathbb{F}_p^n$ of $\dim k' = n - tm$

- $g \in \mathbb{F}_{p^m}[x]$ irreducible

- $\beta_i = g(\alpha_i)^{-1}$

\longrightarrow

$$\text{Goppa}(\alpha, g) = \text{GRS}(\alpha, \beta) \cap \mathbb{F}_p^n$$

- Γ basis of $\mathbb{F}_{p^m} / \mathbb{F}_p$

\longrightarrow

$$\text{Goppa}(\alpha, g) = \Gamma(\text{GRS}(\alpha, \beta))$$

$$H_{\text{GRS}} \in \mathbb{F}_{p^m}^{t \times n}$$

$\xrightarrow{\Gamma}$

$$H_{\text{Goppa}} \in \mathbb{F}_p^{mt \times n}$$

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$$H_{\text{GRS}} \in \mathbb{F}_{p^m}^{t \times n}$$

$\xrightarrow{\Gamma}$

$$H_{\text{Goppa}} \in \mathbb{F}_p^{mt \times n}$$

$$\rightarrow \dim(\text{Goppa}(\alpha, g)^{(2)}) = \min\{n, \binom{k'+1}{2} - \ell\}, \quad \ell = \frac{mt}{2}(2t \log_2(t) - t - 1)$$

Distinguish Goppa Codes

Goppa $(\alpha, g) \in \mathbb{F}_p^n$ of $\dim k' = n - tm$

◦ $g \in \mathbb{F}_{p^m}[x]$ irreducible

◦ $\beta_i = g(\alpha_i)^{-1}$

\longrightarrow

Goppa $(\alpha, g) = \text{GRS}(\alpha, \beta) \cap \mathbb{F}_p^n$

◦ Γ basis of $\mathbb{F}_{p^m} / \mathbb{F}_p$

\longrightarrow

Goppa $(\alpha, g) = \Gamma(\text{GRS}(\alpha, \beta))$

$H_{\text{GRS}} \in \mathbb{F}_{p^m}^{t \times n}$

$\xrightarrow{\Gamma}$

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Parameters Classic McEliece: $p = 2, m = 13, R = 0.75 \rightarrow \dim(\text{Goppa}(\alpha, g)^{(2)}) = n \quad \nexists$

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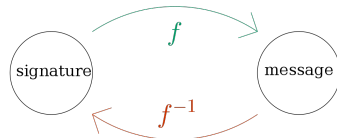
Goal: Given $SH_{\text{Goppa}(\alpha, g)}P$ recover α, g

Hash & Sign

SDP:

Given H, s, t find e with

1. $s = eH^\top$
2. $\text{wt}_H(e) \leq t$

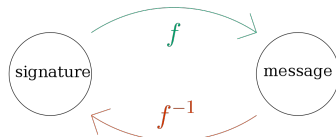


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Signature scheme

🔒 **secret** H (with efficient decoder)

🔓 **public** scrambled $H' = HP, s, t$

→ signature $\sigma = f^{-1}(\text{message})$

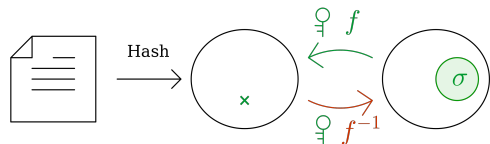
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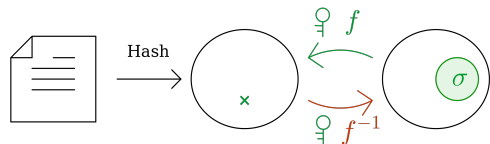
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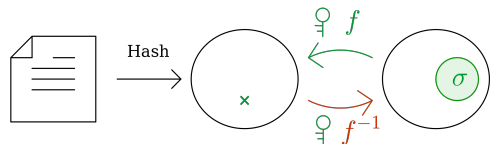
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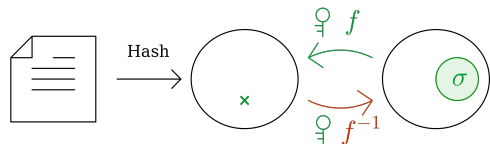
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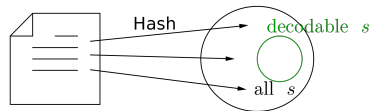
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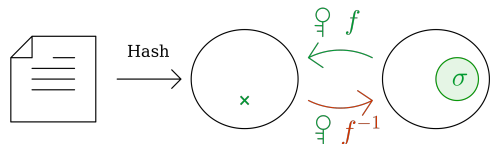


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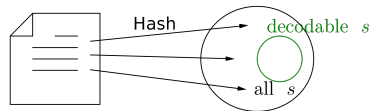
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→ salting the Hash → **slow**

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Hash(m) not decodable syndrome \rightarrow Hash & Sign scheme: **slow**

Decodable syndromes $\sum_{i=0}^t \binom{n}{i} (q-1)^i < q^{n-k}$ All syndromes

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Need an almost perfect code!

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2. Open problem: Find code

- efficiently decodable
- almost perfect
- not distinguishable

Rank Metric

\mathbb{F}_{q^m} -linear codes

- $\mathcal{C} \subset \mathbb{F}_{q^m}^n$
- $\mathcal{C} = \langle G \rangle$, $G \in \mathbb{F}_{q^m}^{k \times n}$
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basis Γ
 $\xrightarrow{\text{green}}$
 $\xleftarrow{\text{red}}$

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- Used in: MIRA, MiRitH

Hardness of Rank SDP

Rank SDP:

Given p.c. matrix H , syndrome s , weight t , find e s.t. 1. $s = eH^\top$ 2. $\text{wt}_R(e) \leq t$

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How to show a problem \mathcal{P} is NP-hard?

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Reduction from \mathcal{Q} to \mathcal{P} :

1. Pick \mathcal{Q} NP-hard problem
2. I random instance of \mathcal{Q}
→ (poly. time) J instance of \mathcal{P}
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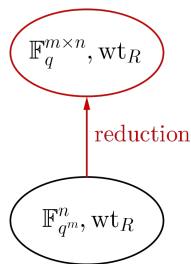
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Reduction from \mathcal{Q} to \mathcal{P} :

- If we can solve \mathcal{P}
 - we can solve \mathcal{Q}
- hardness $\mathcal{P} \geq$ hardness \mathcal{Q}
- \mathcal{P} is NP-hard

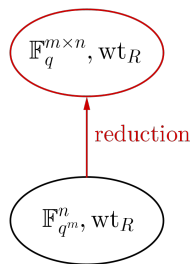
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1. expand code \mathcal{C} to $\mathbb{F}_q^{m \times n}$ via basis Γ $\rightarrow d_R(\Gamma(\mathcal{C})) = d_R(\mathcal{C})$

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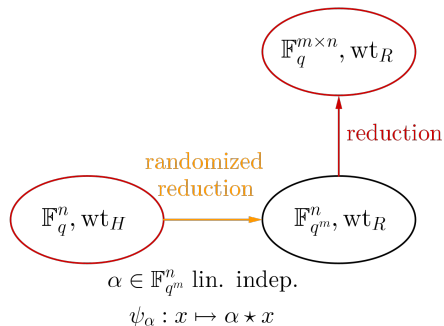


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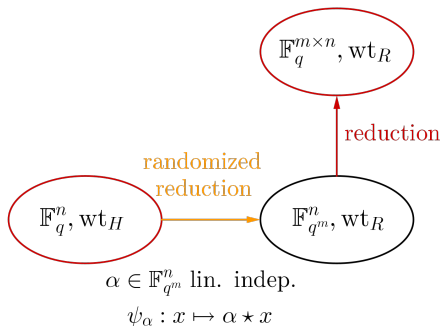
$$\rightarrow \text{hardness} \\ (\mathbb{F}_q^{m \times n}, \text{wt}_R) \geq (\mathbb{F}_{q^m}^n, \text{wt}_R)$$

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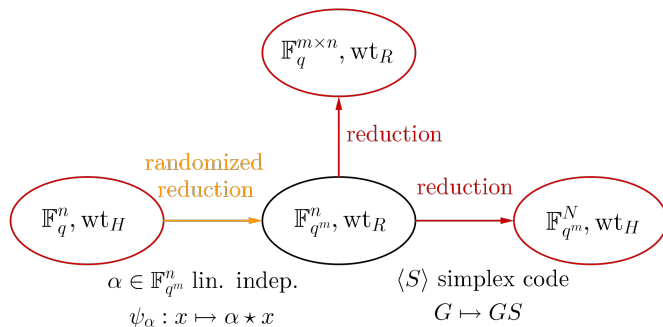
2. $\text{wt}_R(\psi_\alpha(x)) = \text{wt}_H(x) \quad \rightarrow \quad d_R(\psi_\alpha(\mathcal{C})) \leq d_H(\mathcal{C})$

Connections to Other Problems



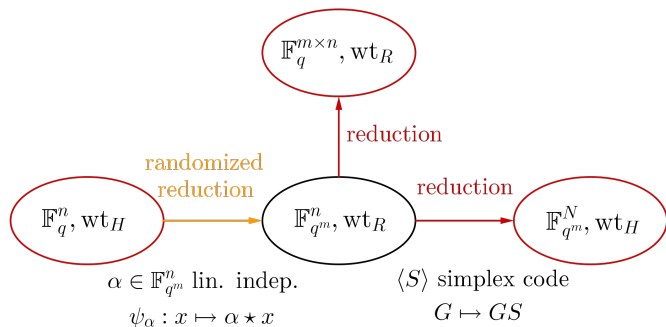
2. $\text{wt}_R(\psi_\alpha(x)) = \text{wt}_H(x)$ $\rightarrow d_R(\psi_\alpha(\mathcal{C})) \leq d_H(\mathcal{C})$ \rightarrow only w.h.p. equal

Connections to Other Problems



$$3. S \in \mathbb{F}_q^{n \times N}, N = \frac{q^n - 1}{q - 1} \quad \rightarrow \quad d_R(\langle G \rangle) = d_H(\langle GS \rangle)$$

Connections to Other Problems

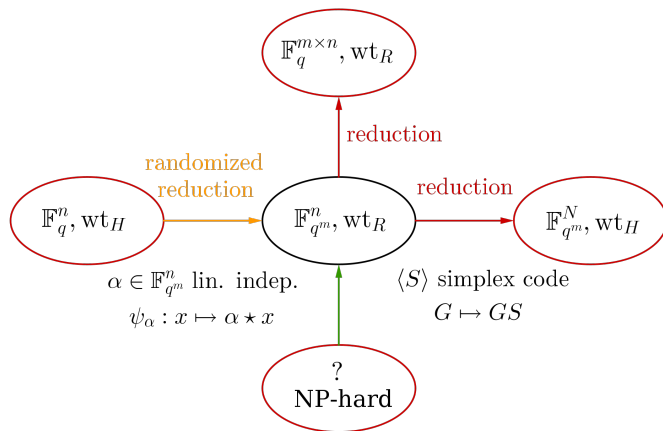


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Connections to Other Problems



→ new NP-hard problem to reduce from?

How to solve Rank SDP

More costly than Hamming ISD? $\begin{bmatrix} n \\ t \end{bmatrix}_q > \binom{n}{t}$

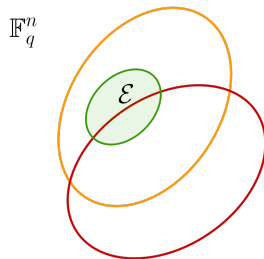
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Combinatorial solver:

- search for supersupport $\mathcal{F} \supset \mathcal{E}$ of dim. $n - k > t$ in \mathbb{F}_q^n
- $T = t/n$, $t = d/2$ from rank GV
- cost: $\begin{bmatrix} n \\ t \end{bmatrix}_q \begin{bmatrix} n-k \\ t \end{bmatrix}_q^{-1} \sim q^{tk} = q^{n^2 RT}$

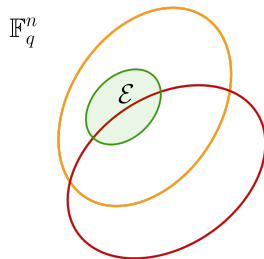


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More costly than Hamming ISD? $\begin{bmatrix} n \\ t \end{bmatrix}_q > \binom{n}{t} \quad q^{n^2 RT} \text{ vs. } 2^{n0.05}$

Combinatorial solver:

- search for supersupport $\mathcal{F} \supset \mathcal{E}$ of dim. $n - k > t$ in \mathbb{F}_q^n
- $T = t/n$, $t = d/2$ from rank GV
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How to solve Rank SDP

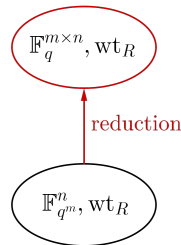
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MinRank solver:

- if $t \sim \log(n)$, $T = t/\log(n)$
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- not the case for random codes



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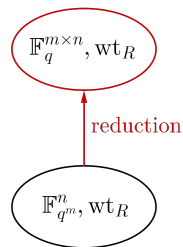
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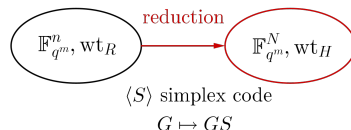
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Hamming ISD:

→ $q^{n^2 RT}$ optimal



Other solvers?

Code Equivalence

linear isometry: $\text{wt}(x) = \text{wt}(\varphi(x)) \ \forall x \rightarrow$ Hamming metric: $(\mathbb{F}_q^*)^n \rtimes S_n \times \text{Aut}(\mathbb{F}_q)$

code equivalence: $\mathcal{C} \sim \mathcal{C}'$ if exists lin. isometry φ : $\varphi(\mathcal{C}) = \mathcal{C}'$

Code Equivalence

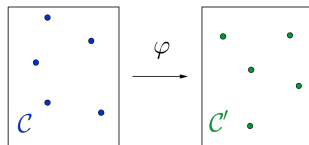
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Linear Equivalence Problem (LEP)

Given $G, G' \in \mathbb{F}_q^{k \times n}$, find $\varphi \in (\mathbb{F}_q^*)^n \rtimes S_n$
s.t. $\varphi(\langle G \rangle) = \langle G' \rangle$.

LEP



- LEP used in: LESS

Code Equivalence

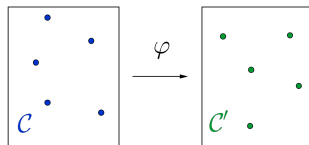
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Permutation Equivalence Problem (PEP)

Given $G, G' \in \mathbb{F}_q^{k \times n}$, find $\varphi \in S_n$

s.t. $\varphi(\langle G \rangle) = \langle G' \rangle$.



- LEP used in: LESS

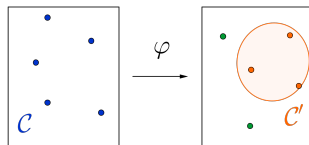
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Permuted Kernel Problem (PKP)

Given $G \in \mathbb{F}_q^{k \times n}$, $G' \in \mathbb{F}_q^{k' \times n}$, find perm. matrix P
s.t. $\langle G' \rangle \subset \langle GP \rangle$.



◦ LEP used in: LESS

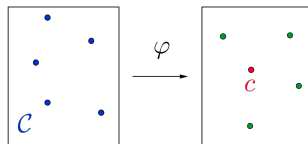
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Relaxed PKP

Given $G \in \mathbb{F}_q^{k \times n}$, $G' \in \mathbb{F}_q^{k' \times n}$, find $x \in \mathbb{F}_q^k$, perm. P
s.t. $xGP \in \langle G' \rangle$.



- LEP used in: LESS
- rel. PKP used in: PERK

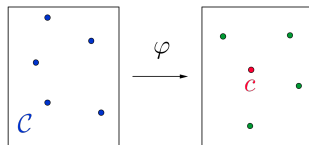
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- LEP used in: LESS
- rel. PKP used in: PERK

4. Open problem: Hardness of Code Equivalence

- How hard is LEP/ relaxed PKP?
- How to solve LEP/ relaxed PKP?

Connections to Other Problems

Graph Isomorphism (GI)

Given $\mathcal{G} = (V, E), \mathcal{G}' = (V, E')$, find $f : V \rightarrow V$,
s.t. $\{u, v\} \in E \leftrightarrow \{f(u), f(v)\} \in E'$.

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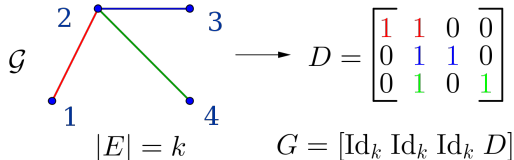
- Hardness?

→ Assume quasi-polynomial
(Babai)

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\rightarrow hardness $\text{LEP} \geq \text{PEP} \geq \text{GI}$

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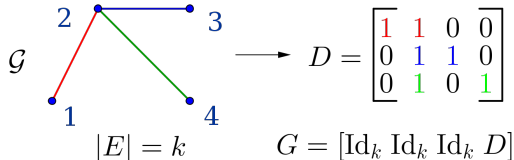
- sub-GI NP-hard

\rightarrow PKP NP-hard

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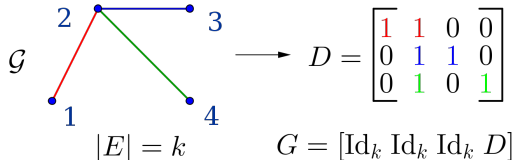
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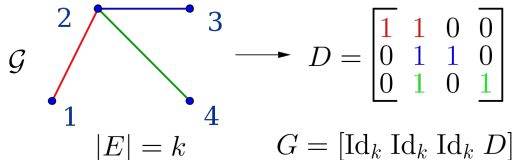
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→ if $q \leq 5$ $\text{LEP} = \text{PEP}$

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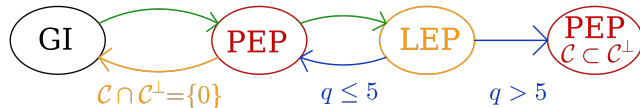


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How to solve Code Equivalence

- LEP $q \leq 5$ = PEP random codes → Babai's quasi-poly. algo. for GI

How to solve Code Equivalence

- LEP $q \leq 5$ = PEP random codes \rightarrow Babai's quasi-poly. algo. for GI

- LEP $q > 5$ = PEP self orthogonal codes \rightarrow invariant: weight distribution

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◦ LEP $q \leq 5$ = PEP random codes

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◦ LEP $q > 5$ = PEP self orthogonal codes

→ invariant: weight distribution

$\mathcal{C} \xrightarrow{\text{ISD}} c_1, \dots, c_N \text{ of wt } w > d \longrightarrow c_i = \{a, a, a, b, b, \dots, d\}$

$\mathcal{C}' \xrightarrow{\text{ISD}} c'_1, \dots, c'_N \text{ of wt } w > d \longrightarrow c'_i = \{a, a, a, b, b, \dots, d\}$

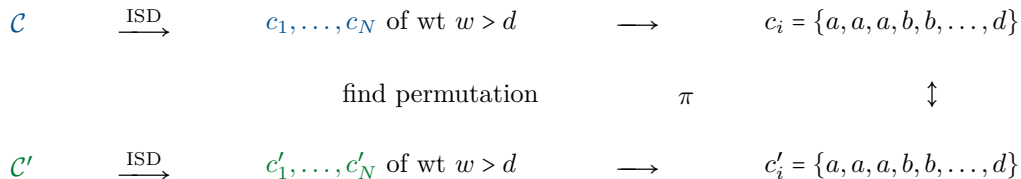
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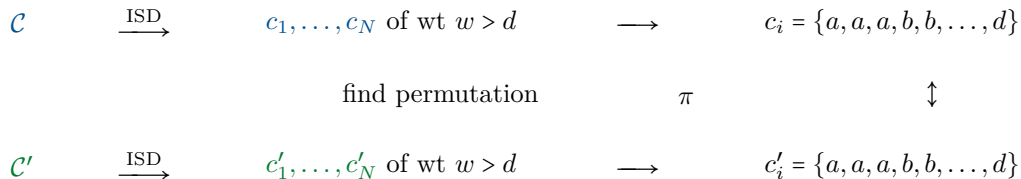
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other solvers?

Questions?

Open Problems

1. Distinguish Goppa codes
2. Find code for Hash & Sign
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May 25-26 in Zurich

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Slides

Thank you!